



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent

appln. of:

Keith C. Hong, et al.

Serial No.

10/600,847

Filed:

June 20, 2003

For:

ALGAE RESISTANT ROOFING GRANULES WITH CONTROLLED

ALGAECIDE LEACHING RATES, ALGAE RESISTANT SHINGLES, AND PROCESS

FOR PRODUCING SAME.

Group Art

Unit:

3635

Examiner:

Not yet assigned

Docket No:

008-02

Mail Stop DD Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed and attached hereto are the following documents for filing in the U.S.

Patent and Trademark Office:

- 1. This transmittal letter in duplicate;
- 2. Information Disclosure Statement;
- 3. PTOL Form 1449, 4 pages;
- 4. Copies of Cited Prior Art; and
- Acknowledgment postcard to be date-stamped and returned to Paul & Paul.

Certificate of Mailing

I hereby certify that this paper or fee and the papers indicated as being deposited with the United States Postal Service as first class mail postage prepaid in an envelope addressed to:

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
on January 21, 2004.

Alex R. Sluzas, Reg. No. 28,669

Serial No. 10/600,847 Page 2

The Commissioner is hereby authorized to charge any additional fees associated with this communication, or credit any overpayment, to Paul & Paul Deposit Account No. 16-0750, Order No. 2022.

January 21, 2004

Order No. 2022

Respectfully submitted,

Alex R. Sluzas

Registration No. 28,669

Paul & Paul Two Thousand Market Street Suite 2900 Philadelphia, PA 19103 (215) 568-4900





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent

appln. of: Keith C. Hong, et al.

Serial No:

10/600,847

Filed:

June 20, 2003

For:

ALGAE RESISTANT ROOFING

GRANULES WITH CONTROLLED

ALGAECIDE LEACHING RATES, ALGAE RESISTANT SHINGLES, AND PROCESS

FOR PRODUCING SAME.

Group Art

Unit:

3635

Examiner:

Not yet assigned

Docket No:

008-02

Mail Stop DD Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT PURSUANT TO THE PROVISIONS OF 37 C.F.R. §§ 1.56, 1.97 AND 1.98

Sir:

In accordance with applicants' duty of disclosure under 35 U.S.C. § 1.56(a) and pursuant to 37 C.F.R. §§ 1.97-1.98, applicants list herewith patents, publications, or other information of which they are aware and they believe may be material to the examination of this application in respect of which there may be a duty to disclose.

Certificate of Mailing

I hereby certify that this paper or fee and the papers indicated as being deposited with the United States Postal Service as first class mail postage prepaid in an envelope addressed to:

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 on January 21, 2004.

Alex R. Sluzas, Reg. No. 28,669

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information, as defined in 37 C.F.R. § 1.56(a), exists. Further, the filing of this Information Disclosure Statement shall not be construed as an admission against any interest in any manner.

U.S. Patents

- U.S. Patent No. Re. 19,372 to Walton dated November 13, 1934.
- U.S. Patent No. Re. 20,295 to Fisher dated March 16, 1937.
- U.S. Patent No. 1,677,701 to Alton dated July 17, 1928.
- U.S. Patent No. 2,001,448 to Beasley dated May 14, 1935.
- U.S. Patent No. 2,142,540 to Veazey dated January 3, 1939.
- U.S. Patent No. 2,379,358 to Jewett dated June 26, 1945.
- U.S. Patent No. 2,695,851 to Lodge dated November 30, 1954.
- U.S. Patent No. 2,898,232 to Miller, et al. dated August 4, 1959.
- U.S. Patent No. 2,981,636 to Lodge, et al. dated April 25, 1961
- U.S. Patent No. 2,986,476 to Larssen dated May 30, 1961.
- U.S. Patent No. 3,397,073 to R. H. Fehner dated August 13, 1968.
- U.S. Patent No. 3,479,201 to Sloan dated November 18, 1969.
- U.S. Patent No. 3,507,676 to McMahon dated April 21, 1970.
- U.S. Patent No. 3,752,696 to Beyard, et al. dated August 14, 1973.
- U.S. Patent No. 3,932,143 to Marshall, et al. dated January 13, 1976.

- U.S. Patent No. 3,945,945 to Kiovsky, et al, dated March 23, 1976.
- U.S. Patent No. 3,985,540 to Fein, et al. dated October 12, 1976.
- U.S. Patent No. 4,092,441 to Meyer, et al. dated May 30, 1978.
- U.S. Patent No. 4,631,267 to Lachman, et al. dated December 23, 1986.
- U.S. Patent No. 4,920,090 to Ritter, et al. dated April 24, 1990.
- U.S. Patent No. 5,039,311 to Bloecher dated August 13, 1991.
- U.S. Patent No. 5,052,162 to Bush, et al. dated October 1, 1991.
- U.S. Patent No. 5,147,686 to Ichimura, et al. dated September 15, 1992.
- U.S. Patent No. 5,356,664 to Narayan, et al. dated October 18, 1994.
- U.S. Patent No. 5,362,566 to George, et al. dated November 8, 1994.
- U.S. Patent No. 5,366,767 to Howard dated November 22, 1994.
- U.S. Patent No. 5,411,803 to George, et al. dated May 2, 1995.
- U.S. Patent No. 5,599,586 to Israel dated February 4, 1997.
- U.S. Patent No. 5,733,842 to Gerdes, et al. dated March 31, 1998.
- U.S. Patent No. 5,975,988 to Christianson dated November 2, 1999.
- U.S. Patent No. 6,063,849 to Morris, et al. dated May 16, 2000.
- U.S. Patent No. 6,120,913 to Kluttz, et al. dated September 19, 2000.
- U.S. Patent No. 6,214,466 to Joedicke dated April 10, 2001.
- U.S. Patent No. 6,235,372 to Joedicke dated May 22, 2001.
- U.S. Patent No. 6,238,794 to Beesley, et al. dated May 29, 2001.
- U.S. Patent No. 6,245,381 to Israel dated June 12, 2001.
- U.S. Patent No. 6,495,074 to Carr dated December 17, 2002.
- U.S. Patent No. 6,521,004 to Culler, et al. dated February 18, 2003.

Serial No. 10/600,847

Page 4

U.S. Patent Application Publications

- U.S. 2002/0095871 to McArdle, et al. Pub. Date: July 25, 2002.
- U.S. 2002/0098110 to Graham, et al. Pub. Date: July 25, 2002.
- U.S. 2002/0160151 to Pinault, et al. Pub. Date: October 31, 2002.
- U.S. 2003/0037698 to Kiik, et al. Pub. Date: February 27, 2003.
- U.S. 2003/0068469 to Aschenbeck, et al. Pub. Date: April 10, 2003.
- U.S. 2003/0108668 to Joedicke, Pub. Date: June 12, 2003.

Foreign Patent Documents

International Patent Document WO 96/07538 dated, March 14, 1996;

Publications And Other Documents

"The Aging Process of Shingles" by Tyler Wierman, 4 pgs., date unknown, http://www.ag.ohio-state.edu/~ati cons/257/tyler/1.htm.

Technical Bulletin Asphalt Roofing Manufacturers Association "Algae Discoloration of Roofs", Catalogue No. 217-RR-89, 1 pg., (Calverton, MD), May 1997.

Copper Development Association Inc., "Copper Blocks Ugly Bugs", 2 pgs., Winter 1995.

Johns Manville Roofing Systems Group, "Roofing Granules" Sheet ID: 3004, 6 pgs., (Denver, CO) Issued January 11, 2001.

Minerals Research & Recovery, Inc., "Roofing Granules", 2 pgs., (Tucson, AZ), date unknown.

Serial No. 10/600,847

Page 5

The individual making this statement is the attorney who signs below on the basis of information provided by the inventors, information in the attorney's file, and an individual associated with the filing and prosecution of this application.

No additional fee is believed to be required. In the event, the Commissioner is hereby authorized to charge any additional fees associated with this communication, or credit any overpayment, to Paul & Paul Deposit Account No. 16-0750, Order No. 2022.

Respectfully submitted,

Date: January 21, 2004

Alex R. Sluzas

Registration No. 28,669

Paul & Paul

Two Thousand Market Street

Suite 2900

Philadelphia, PA 19103

(215) 568-4900

Order No. 2022

					ATTY DOCKET NO. 008-02		SERIAL NO. 10/600,847				
	INFC		ATION DISCLOSURE Use several sheets if necess			Keith C. Hong, et al.		<u>-</u> -			
يسر			soo oo torar arrooto ir ricoos.			FILING		GROUP			
OF	- 1C	\		 		June 20, 2003		•	3635		
<u>/</u> 0	2 200	k (5	U.S	S. PATENT	DOCUMENTS					
EXAMINER 1		Sk. Der	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DAT		
PAITEN	& TRC	ŘÉ	19,372	11/13/34	Walton						
			20,295	03/16/37	Fisher						
	1,677,701			07/17/28	Alton						
		2,0	001,448	05/14/35	Beasley						
		2,1	42,540	01/03/39	Veazey						
		2,3	379,358	06/26/45	Jewett						
		2,6	595,851	11/30/54	Lodge						
		2,8	398,232	08/04/59	Miller,	et al.					
	2,981,636			04/25/61	Lodge, et al.						
	2,986,476			05/30/61	Larssen						
	3,397,073			08/13/68	Fehner						
		-		FORE	IGN PATEI	NT DOCUMENTS			-		
	DOCUMENT NUMBER			DATE		COUNTRY	CLASS	SUBCLASS	TRANS YES	LATION .	
	WO 96/07538			03/14/96	PCT	·					
		•				. , , —					
	J		OTHER DOCUME	NTS (Including	g Author,	Title, Date, Pertinent P	ages, Etc.)		<u> </u>	
			"The Aging Process of S http://www.ag.ohio-state	hingles" by Tyle e.edu/-ati_cons/25	r Wiermar 57/tyler/1.ł	n, 4 pgs., date unknown, ntm.					
	Technical Bulletin Asphalt Roofing Manufacturers Association "Algae Discoloration of Roofs", Catalogue No. 217-RR-89, 1 pg., (Calverton, MD), May 1997.										
EXAMINER					DATE CONSIDERED	31 -21 -2					
			ference considered, whethe			ance with MPEP 609; Draw lin	ne through c	itation if not in co	nformance	and not	

Form PTO-A820 (also form PTO-1449)

P09C/REV03

Patent and Trademark Office * U.S. DEPARTMENT OF COMMERCE

						ATTY DOCKET NO. 008-02	SERIAL NO. 10/600,847			
	INFO		ATION DISCLOSUR Jse several sheets if nece			Keith C. Hong, et al.				
OFE VC						FILING June 20, 20	03	GROUP 3635		
	/°	7	2004 11	U.	S. PATENT	DOCUMENTS	10			
*EXAMINER	7	3 1	DOCUMENT NUMBER	DATE	T	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	ATE.	134	79.201	11/18/69	Sloan	Sloan McMahon				
		3,5	07,676	04/21/70	McMah					
		3,7	52,696	08/14/73	Beyard, et al.					
		3,9	32,143	01/13/76	Marsha	ll, et al.				
		3,9	45,945	03/23/76	Kiovsky, et al.					
		3,9	85,540	10/12/76	Fein, et	al.				
	4,092,441 4,631,267 4,920,090 5,039,311			05/30/78	Meyer, et al.			·		
				12/23/86	Lachma	ın, et al.				
				04/24/90 Ritter		et al.				
				08/13/91	Bloeche	Bloecher				
	5,052,162			10/01/91	Bush, et	t al.				,
				FORE	EIGN PATE	NT DOCUMENTS				
			DOCUMENT NUMBER	DATE	DATE COUNTRY			SUBCLASS	TRANS	SLATION NO
		- t -								
						-		à		
			,		-	,				
) -										
		<u></u>	OTHER DOCUM	ENTS (Includir	ng Author	, Title, Date, Pertinen	t Pages, Etc	:.)		
	Copper Development Association Inc., "Copper Blocks Ugly Bugs", 2 pgs., Winter 1995.									
			Johns Manville Roofin (Denver, CO), Issued J	g Systems Group, anuary 11, 2001.	"Roofing	Granules" Sheet ID: 300	4, 6 pgs.,			
EXAMINER	₹					DATE CONSIDERED				
			ference considered, wheth			ance with MPEP 609; Draw	w line through	citation if not in co	onformance	and not

Form PTO-A820 (also form PTO-1449)

INFORMATION DISCLOSURE CITATION (Use server at sneets if necessary)					ATTY DOCKET NO. 008-02		SERIAL NO. 10/600,847			
					Keith C. Hong, et al.					
					FILING		GROUP			
	JAN 2 3 2304 👸 U.S				June 20, 2003		3635			
	1/3	G~1	U.:	S. PATENT	DOCUMENTS					
*EXAMINER INITIAL	DOCUMENT NOMBER INITIAL PRANTE		DATE	NAME		CLASS	SUBCLASS	FILING DAT		
	5,147,686 09/15 5,356,664 10/18 5,362,566 11/08			Ichimur	a, et al.					
				Naraya	n, et al.					
				George, et al.						
	5,3	366,767	11/22/94	Howard George, et al.						
	5,4	411,803	05/02/95							
	5,5	599,586	02/04/97	Israel Gerdes, et al.						
	5,7	733,842	03/31/98							
	5,9	975,988	11/02/99	Christianson Morris, et al. Kluttz, et al.						
	6,0	063,849	05/16/00							
	6,1	120,913	09/19/00							
	6,2	214,466	04/10/01	Joedick	e					
			FORE	IGN PATE	NT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY CLASS			SUBCLASS	TRANS YES	LATION NO	
,								TES	NO	
							 			
		OTHER DOCUME	INTS (Includin	a Author	Title Date Partinent Br	nan Eta	1			
		T			Title, Date, Pertinent Pa					
		Minerals Research & R	ecovery, Inc., "Re	oofing Gra	nules", 2 pgs., (Tucson, AZ)), date unkn	own.			
						· · · · · ·	· · · · · · · · · · · · · · · · · · ·			
		-								
						<u></u>				
EXAMINER					DATE CONSIDERED					
		eference considered, whethopy of this form with next co			ance with MPEP 609; Draw lin	e through ci	tation if not in co	onformance	and not	

Form PTO-A820 (also form PTO-1449)

	,		ATTY DOCKET NO. 008-0	SERIAL NO. 10/600,847							
IN	FORMATION DISCLOSUR	E CITATION ssary)		Keith C. Hong, et al.							
	\0. \cdot\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\		F	FILING June 20,		GROUP 3635					
	774 5 3 500 E	l	U.S. PATENT D	S. PATENT DOCUMENTS							
*EXAMINER	DOCUMENT NUMBER 6,235,372	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE				
1111111	6,235,372 05/22/01 Joed										
	6,238,794	05/29/01	Beesley,	et al.							
	6,245,381	06/12/01	Israel								
	6,495,074	12/17/02	Carr Culler, et al.								
	6,521,004	02/18/03									
	U.S. 2002/0095871	07/25/02	McArdle, et al.								
	U.S. 2002/0098110	07/25/02	Graham, et al.								
	U.S. 2002/0160151	10/31/02	Pinault, et al.								
	U.S. 2003/0037698	02/27/03	Kiik, et a	l							
	U.S. 2003/0068469	04/10/03	Aschenbeck, et al.								
·	U.S. 2003/0108668	06/12/03 Joekicke									
		FOF	REIGN PATEN	T DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANS YES	LATION NO			
	OTHER DOCUM	IENTS (Includ	ding Author,	Title, Date, Pertin	ent Pages, Etc.)					
					-						
EXAMINER				DATE CONSIDERED							
	nitial if reference considered, whet clude copy of this form with next c			nce with MPEP 609; [Draw line through c	itation if not in c	onformance	and not			

Form PTO-A820 (also form PTO-1449) Copyright 1994 LegalStar

P09C/REV03

Patent and Trademark Office * U.S. DEPARTMENT OF COMMERCE